PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/646,526 TRANSMITTAL Filing Date August 22, 2003 **FORM** First Named Inventor Kenneth COLLINS Art Unit (to be used for all correspondence after initial filing) 1763 **Examiner Name** Unknown **Attorney Docket Number** 006915 P08 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) RADEMA After Allowance communication Drawing(s) Fee Transmittal Form to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group XX. Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Reg. No. 29,119 Robert M. Wallace Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Shelly Hart Signature This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the

amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

CERTIFICATE OF MAILING I hereby certify that this paper paper referred to therein as b is deposited with the U.S. Postal as first class mail, po

**PATENT** 

Attorney Docket No.: 006915 P08 RW Ref. No.: APM/001-02-CP1-8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kenneth COLLINS, et al.

Serial No.: 10/646,526

Examiner:

Unknown

Filed:

August 22, 2003

Group Art Unit:

1763

For:

PLASMA IMMERSION ION IMPLANTATION SYSTEM INCLUDING A CAPACITIVELY COUPLED PLASMA SOURCE HAVING LOW

DISSOCIATION AND LOW MINIMUM PLASMA VOLTAGE

## PRELIMINARY AMENDMENT TRANSMITTAL

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

Transmitted herewith is a Preliminary Amendment in the above-identified application. The fee has been calculated as shown below.

Claims remain- ing after amendment		previ	ously paid	Present extra	Rate	Additional Fee
26	minus	26	=	0	x \$18	\$000.00
2	minus	3	=	0	x \$86	\$000.00
If Multiple Dependent Claims Are Present, Add \$290.00						
(If applicant is a "small entity," subtract half of total)						\$000.00
	26 2	amendment  26 minus  2 minus	amendment for  26 minus 26  2 minus 3  endent Claims Are Prese	amendment for  26 minus 26 =  2 minus 3 =  endent Claims Are Present, Add \$29  (If applicant is a	amendment for extra  26 minus 26 = 0  2 minus 3 = 0  endent Claims Are Present, Add \$290.00  (If applicant is a "small entity)	amendment for extra Rate  26 minus 26 = 0 x \$18  2 minus 3 = 0 x \$86  endent Claims Are Present, Add \$290.00  (If applicant is a "small entity,"

Total additional fee for this amendment

\$000.00

[X] No additional fee is required.

[] A check in the amount of \$ is attached.

[X] The Commissioner is hereby authorized to charge any additional fees or deficiencies or credit overpayment to Deposit Account No. 50-0338.

Respectfully submitted,

Dated:

Robert M. Wallace Patent Counsel

2112 Eastman Avenue, Suite 102

Ventura, CA 93003 (805) 644-4035 Robert M. Wallace

Reg. No. 29,119

Customer No. 000044843 Attorney for Applicants lass mail, postage puspeld, in

ppe addressed to Consissioner

ta. P.O. Box 1450, Alexandria, VA 22313,1450

PATENT

for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
on Con Con Ner 29, W.Y. (Date of Deposit)

CERTIFICATE OF MAILIN

Attorney Docket No. 006915 P08 RW Ref. No.: APM/001-02-CP1-8

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Kenneth COLLINS, et al.

Group Art Unit: 1763

Entitled: PLASMA IMMERSION ION IMPLANTATION SYSTEM INCLUDING A CAPACITIVELY COUPLED PLASMA SOURCE HAVING LOW DISSOCIATION AND LOW MINIMUM PLASMA VOLTAGE

Examiner: Unknown

Serial No.: 10/646,526

:

Filing Date: August 22, 2003

## PRELIMINARY AMENDMENT

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Prior to taking action in this case, please amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 9 of this paper.